



## Portable Appliance Testing: A Practical Guide

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## CONTENTS

- 04** Legislation
- 06** Who has responsibility?
- 07** Competence, Training and Experience
- 08** Scope of the Guide
- 09** Frequency of inspection and testing based on risk assessment
- 12** Types of Equipment
- 14** Classes of Equipment Construction
- 16** The Inspection Process
- 19** Combined Inspection and Testing Procedure
- 22** Equipment Often Overlooked
- 24** Electrical Tests
- 30** Record Keeping
- 33** Appendix
- 34** Flowchart for Aiding Test Requirements
- 36** PAT Testers from Seaward
- 40** PATGuard 3 Software
- 41** Accessories and Services from Seaward

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## Legislation

Although reference is made to legislation, this guide should not be considered to be legal advice. The reader should refer to the specific legislation and seek legal advice where necessary, which may vary from time to time.

### Health and Safety at Work Act 1974

**(HSW 1974)** places a duty of care on both the employer and employee to ensure the safety of all persons using the work premises.

### Management of Health and Safety at Work Regulations 1999

state that every employer shall make suitable assessment of the risks to health and safety of his employees to which they are exposed whilst at work and the risk to health and safety of persons not in his employment arising of or in connection with the conduct by him of his undertaking.

The management of Health and Safety at Work Regulations 1999 also states that:

- a. Every employer shall make a suitable and sufficient assessment of the risks to the health and safety of his employees to which they are exposed whilst they are at work.
- b. Where the employer employs five or more employees, he shall record the significant findings of the assessment.

**Electricity at Work Regulations 1989** apply to every type of electrical equipment and state: "As may be necessary to prevent danger, all systems shall be maintained so as to prevent, so far as is reasonably practicable, such danger." (Regulation 4(2)).

### Provision and Use of Work Equipment Regulations 1998

places general duties on employers and lists minimum requirements for work equipment to deal with selected hazards whatever the industry.

The Regulations implement an EU Directive aimed at the protection of workers and the "general duties" will require the need to:

- a. Make sure that equipment is suitable for the use for which it is provided.
- b. Take into account the working conditions and hazards in the workplace.
- c. Ensure equipment is used only for the operations for which, and under conditions for which, it is suitable.
- d. Ensure equipment is maintained in an efficient state, in efficient working order and in good repair.
- e. Provide equipment that conforms to EU product safety directives
- f. Plus certain other general duties and specific requirements etc.

### The Housing Act 2004 (England & Wales)

This came into force in April 2006 and an important change was made which introduced a new method of risk assessment called the 'Housing Health & Safety Rating System (HHSRS).

The rating system was designed to assess all hazards that may be present in a residential property and from an electrical perspective, that includes the electrical installation and equipment provided in the property, including associated leads and plugs.

Following the electrical maintenance requirements of the code of practice is a method of demonstrating that equipment is safe for continued use.

Guidance from the Ministry of Housing, Communities and Local Government (MHCLG) indicates that when accommodation is re-let, the electrical equipment will be classed as being supplied to that tenant for the first time and should therefore be re-checked.

### The Housing Act (Scotland) 2006, 2014

The Housing (Scotland) Act 2006, as amended, applies to landlords and tenants in private-sector housing, although some provisions within the Act do relate to housing rented by local authorities.

Chapter 4 of the Act – the 'repairing' standard – defines the statutory requirements that have to be met by a private landlord. From an electrical perspective, these cover the electrical installation, electrical equipment, electrical heating, lighting and the hot water system(s).

Landlords must ensure the property meets the 'repairing' standard at **a)** the start of tenancy and **b)** times during the tenancy.

Following the electrical maintenance requirements of the Code of Practice is a method of ensuring that equipment is safe for continued use.

### The Housing (Wales) Act 2014

This was brought in as a compulsory registration and licensing scheme for private rented sector landlords, letting and management agents and also introduced requirements for local authority housing.

Section 40 of the Act requires ministers to issue a Code of Practice setting standards relating to letting and managing rental properties.

Section 22 of the Act makes it a condition that any person issued with a licence under Part 1 of the same Act must comply with the Code of Practice.

The Code of Practice makes it a requirement that supplied electrical equipment must be in a safe condition.



## Who has Responsibility?

### Users of Electrical Equipment

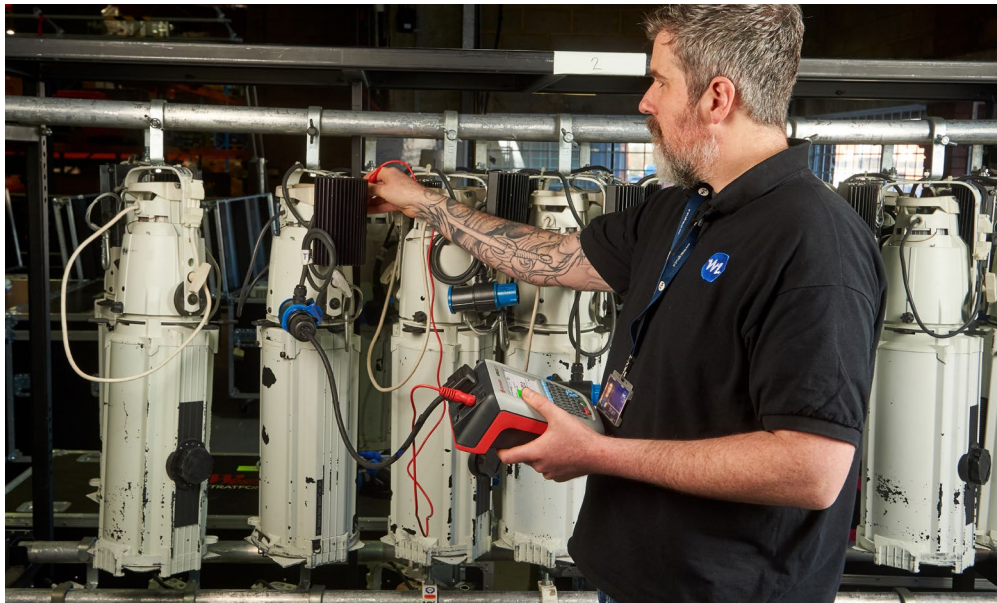
Users of electrical equipment have a responsibility to ensure that equipment they use has no obvious visual damage or defects. The employer has a responsibility to provide and maintain a safe plant for every employee to use (HSW Act 1974 Sect 2 (a)). This requirement is endorsed by the (EAWR 1989) Regs 4(1) and 4(2) with specific reference to electrical equipment. The (EAWR 1989) Reg 3(1) also places the same duties upon the self-employed.

### Administrators

The IET Code of Practice gives advice to persons managing maintenance schemes. Administrators or managers of premises are required to understand and apply the legislation and assess the risks in respect of electrical equipment and appliances within their charge. Administrators have a legal responsibility to ensure that the electrical equipment in their charge is safe.

### Test Operative

The person performing the inspections and tests on an item of the equipment should be competent to carry out the inspections and tests, assess the results and conditions in which the item is being used and state whether the item is safe for continued use. Training and experience will both be necessary.



## Competence, Training and Experience

### The User

Users may require training in identification of defects that can occur in electrical equipment. Users should be aware that:

- a. Equipment that is faulty or suspected of being faulty should not be used.
- b. Equipment that is faulty should be labelled and removed from service immediately.
- c. The administrator or manager should be notified.

### The Duty Holder

The duty holder, normally a manager or supervisor, is required to know their legal responsibilities as laid down in the Electricity at Work Regulations 1989 and have a legal responsibility to ensure that equipment in their charge is safe.

Duty holders may require training to allow them to carry out risk assessments, maintain records of inspections, tests and repairs of equipment and manage the inspections and tests at appropriate intervals. Duty holders are required to interpret the recorded results and take appropriate actions or to provide relevant information and reports to a more senior person within the organisation. Competence to interpret records and results is achieved by appropriate training and experience.

### The Test Operative

In the context of safety testing, the term "competence" refers to a person's ability to perform the task without danger to themselves or others and to make a valid judgement based on the results, as to whether the unit under test is safe and is likely to remain safe at least until the next scheduled test date.

It will be appreciated that the test person will require certain knowledge and information to enable such valid prospective judgements to be made. In addition the test person will require both the knowledge and information necessary to make judgements regarding the testing process and its safety and the skill and ability to put such judgements into practice, this producing a safe system of work. Training and experience will both be necessary.

In each organisation the 'Duty Holder' must decide who they deem 'Competent' and what evidence of knowledge or experience they require.

It is suggested that the following criteria are considered:

- a. An adequate knowledge and practical experience of electricity and its hazards.
- b. A clear understanding of precautions required to avoid danger.
- c. The ability to recognise at all times whether it is safe for work to continue.
- d. The ability to identify equipment and appliance types to determine the test procedures and frequency of inspection and testing.
- e. Adequate understanding of the operating principles of both the test equipment and the unit under test.
- f. The ability to create test records and take responsibility for the work.
- g. Adequate knowledge of the required safety standards.
- h. Adequate knowledge of possible hazards at a "strange" site.

The tester's skill and ability should encompass:

- a. Adequate experience of relevant electrical work.
- b. Adequate experience of appliance testing and test equipment.
- c. Adequate training where (b) cannot be otherwise satisfied.
- d. Experience in the interpretation of results.
- e. Practical "technical" experience of the type of equipment being tested.

Suitable sources of information may include:

- a. Employer's safety manuals or instructions.
- b. Equipment manufacturer's handbooks.
- c. British Standards (see **Appendix**).
- d. Health and Safety Executive (HSE) Guidance Notes (see **Appendix**).
- e. IET Code of Practice for In-Service Inspection and Testing of Electrical Equipment.

Managers and supervisors responsible for testing personnel should adjust their degree of supervision to take into account an inadequacy of the test person. The (EAWR 1989) Reg. 3 places a duty on the self-employed to assess their own competence and subsequently to work within their limitations.

## Scope of the Guide

This guide applies to equipment supplied from single, two and three phase supplies at 400V, 230V and 110V and at extra-low voltage including SELV (Separated Extra-Low Voltage).

## Frequency of Inspection Based on Risk Assessment

Guidance on the frequency of testing and/or inspection is provided in the IET Code of Practice and in HSE Guidance Notes such as 'Maintaining Portable Electrical Equipment in Low-risk Environments'. The duty holder should determine the appropriate interval between inspection and testing based on robust risk assessment.

### Risk Assessment

Any risk-based assessments are the responsibility of the duty holder however a duty holder may enlist the services of a competent to assist in this process. Risk assessments should be reviewed regularly to ensure that any control measures are effective and that there are no changes which may alter the level of risk. If there are any significant changes, the risk assessment should be updated.

When assessing the risk, the following factors should be considered:

1. The environment.
2. The users.
3. The equipment class.
4. The frequency of use.
5. Type of installation methods.
6. Previous records.
7. Functional in-service life

To download Seaward's 5th edition risk assessment template please visit [seaward.com/RATemplate](http://seaward.com/RATemplate) Guidance on the initial frequency of suggested inspection and test periods is given in the IET Code of Practice for In-Service Inspection and Testing of Electrical Equipment, and can also be found in the HSE publications HSG107 Maintaining Portable Electrical Equipment and INDG236 Maintaining Portable Electric Equipment in Low-Risk Environments.

The table overleaf appears in the HSE Guidance Note HSG107 'Maintaining Portable Electrical Equipment' and provides suggested initial maintenance intervals.

**Table F: Suggested Initial Maintenance Intervals**

Maintaining portable electrical equipment HSG107 (3rd. Edition) HSE 2013

| Type of Business   |                        | User Checks            | Formal Visual Inspection  | Combined Inspection and Test   |
|--|------------------------|------------------------|---|--|
| Equipment hire   |                        | N/A                    | Before issue/after return   | Before issue   |
| Battery operated equipment (less than 40 V)  |                        | No                     | No  | No   |
| Extra low voltage (less than 50 V AC), telephone equipment, low-voltage desk lights  |                        | No                     | No  | No   |
| Construction   | 110V equipment         | Yes, weekly            | Yes, monthly  | Yes, before first use on site then 3-monthly                         |
|  | 230V equipment         | Yes, daily/every shift | Yes, weekly   | Yes, before first use on site then monthly                           |
|  | Fixed RCDs             | Yes, daily/every shift | Yes, weekly   | Yes, before first use on site then 3-monthly (portable RCDs monthly) |
|  | Equipment site offices | Yes, monthly           | Yes, 6 monthly  | Yes, before first use on site then yearly                            |
| Heavy industrial/high risk of equipment damage (not construction)  |                        | Yes, daily             | Yes, weekly   | Yes, 6-12 months   |
| Light industrial   |                        | Yes                    | Yes, before initial use then 6 monthly                                    | Yes, 6-12 months   |
| Office information technology rarely moved, e.g. desktop computers, photocopiers, fax machines   |                        | No                     | Yes, 2-4 years  | No if double insulated, otherwise up to 5 years                      |
| Double insulated  (Class II) equipment moved occasionally (not handheld), e.g. fans, table lamps    |                        | No                     | Yes, 2-4 years  | No   |
| Hand-held, double insulated  (Class II) equipment, e.g. some floor cleaners, some kitchen equipment |                        | Yes                    | Yes, 6 months - 1 year  | No   |
| Earthed (Class I) equipment, e.g. electric kettles, some floor cleaners  |                        | Yes                    | Yes, 6 months - 1 year  | Yes, 1-2 years   |
| Cables, leads and plugs connected to Class I equipment, extension leads and battery charging equipment   |                        | Yes                    | Yes, 6 months - 4 years depending on type of equipment it is connected to | Yes, 1-5 years depending on the equipment it is connected to         |

**Note:** Cables, leads and plugs connected to Class II equipment should be maintained as part of that equipment. Cables leads and plugs not dedicated to an item of equipment should be maintained as individual items as appropriate. Over time, when you look at the results of user checks, formal visual inspections and portable appliance tests you will notice trends. These may tell you that you need to look at or test electrical equipment more or less often, depending on the number of problems being found. If electrical equipment is grouped together for testing at the same time, you should use the shortest testing interval in the group rather than the longest. Alternatively, it may be appropriate to group your electrical equipment by testing interval. The IET Code of Practice has a similar table but with the information presented in a slightly different manner. In some instances with more detail and specifics, however, the two sets of information are considered to be consistent with each other.

## Types of Equipment

### Portable Equipment



An appliance that is intended to be moved whilst in operation or an appliance which can easily be moved from one place to another e.g. vacuum cleaner, toaster, food mixer, etc.

### Moveable Equipment (Transportable)



Equipment with wheels, castors or other means to facilitate movement by the operator as required to perform its intended use e.g. air conditioning unit.

### Handheld Equipment or Appliances



Intended to be held in the hand during normal use e.g. drill, hair dryer etc.

The risk of damage which may cause safety of the handheld equipment to be compromised can be high. Also, the use of some handheld equipment carries a high risk due to the very nature of its use i.e. the user is in direct contact with the equipment.

Such equipment may be considered "transportable" rather than portable, but will still be connected to its supply where applicable by a flexible cable and plug. The risk of damage which may cause the safety of transportable equipment to be compromised can be high. Also, the use of some transportable equipment carries high risk due to the very nature of its use (e.g. a high pressure steam/water cleaner) and in such circumstances transportable equipment can present a greater hazard than most portable equipment, therefore the requirement to periodically test must also apply to transportable equipment.

### Stationary Equipment or Appliances



This equipment is not intended to be moved and does not provide a carrying handle e.g. fridge, washing machine.

### Built in Equipment



This equipment is intended to be installed in a prepared recess such as a cupboard e.g. a built in cooker.

### Fixed Equipment



This is equipment or an appliance which is fastened to a support or otherwise secured in a specific location e.g. bathroom heater, towel rail.

### Information Technology Equipment



This equipment includes equipment such as computers, printers etc.

### Extension Leads and RCD Extension Leads

Extension leads are used where an item of equipment requires connection to a mains supply but a convenient outlet is not available. An RCD extension lead is an extension lead that is fitted with a residual current device.


### Multi-way Adaptors and RCD Adaptors


Multi-way adaptors are used where sufficient mains outlets are not available. RCD adaptors are used to provide protection for users of portable equipment, particularly when used outdoors.

## Classes of Equipment Construction


Before an item of equipment can be tested the construction class must be determined in order to identify the appropriate tests. The equipment to be tested will normally be constructed in one of three basic classes, designated Class I, II, II FE or III. Constructional methods are summarised below, full details can be found in BS2754.

Class I equipment is constructed such that protection against electric shock does not rely on basic insulation alone. In addition to basic insulation around live internal parts, exposed conductive parts around live internal parts, exposed conductive parts are connected to the protective conductor in the fixed wiring of the electrical installation. Class I equipment relies upon a connection to the protective conductor to prevent exposed conductive parts becoming live in the event of a failure in the basic insulation.

Class II equipment is constructed such that protection against electric shock does not rely on basic insulation alone. In addition to basic insulation around live internal parts, supplementary insulation is provided, there being no provision for connection of exposed conductive parts to the protective conductor. Such equipment is often described as double insulated\* and should carry the symbol .

Class II FE Equipment (formally known as ITE equipment) still uses supplementary or reinforced insulation for safety but requires a protective conductor for functional reasons. The most common examples of these are switched-mode power supplies used in a variety of applications, especially IT equipment such as laptop computer chargers. A Class II FE product is tested in the same way as normal Class II equipment with the addition of an earth continuity test to confirm connection of the functional earth .

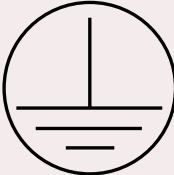
Class III equipment is equipment in which protection against electric shock relies on supply from a separated extra-low voltage source (SELV). In a SELV supply the voltage is less than 50V rms and no exposed conductive parts are connected to the protective conductor.

**Note:** Not all double insulated equipment bears the mark, however, if the mark is applied the equipment must be double insulated. For the purposes of electrical safety testing, if a piece of equipment does not bear the  mark, it should be treated as Class I.

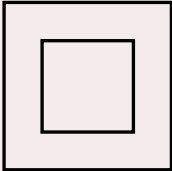
Equipment categories ES1, ES2 and ES3 replace SELV, ELV and LV respectively. These have been introduced to fall in-line with International and European product safety standards.

Awareness of these equipment categories is important, although they do not really change any practical application, they will be used and become more common in the future.

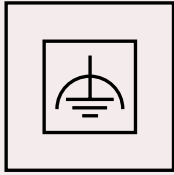
| ES1                   | ES2                    | ES3           |
|-----------------------|------------------------|---------------|
| ≤30Vrms AC or ≤60V DC | ≤50Vrms AC or ≤120V DC | Anything >ES2 |



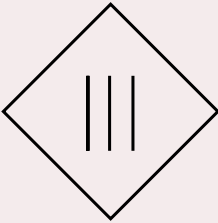
Class I



Class II



Class II FE



Class III



## The Inspection Process

### User Check

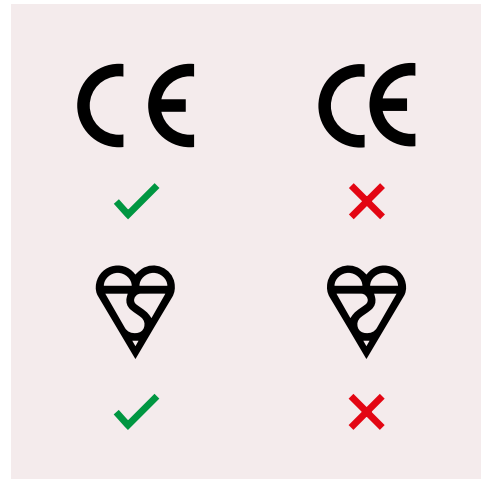
User checks are performed before equipment is plugged in and switched on. The check involves a visual inspection of the mains plug, mains flex and the appliance for obvious signs of damage or degradation. An assessment should also be made of the suitability of the environment and the purpose for which the equipment is to be used. User checks are an important safety precaution as the user of the equipment is most familiar with its operation. User checks do not need to be recorded unless a problem is discovered, in which case the equipment should be labelled to show it is not to be used and removed from service as soon as possible. The administrator or manager should be notified.

### Formal Visual Inspection

In practice, many equipment defects can be found during a formal visual inspection. Many potential hazards arise due to the way in which a piece of equipment is used or abused. For example, portable equipment may be prone to being dropped or a piece of movable equipment with a long, trailing mains flex may be damaged as the equipment is moved around. Potential hazards such as enclosure damage, damage to the mains flex, signs of overheating, incorrectly fitted mains plugs, incorrect fuses etc. can be identified by a thorough visual examination.

Counterfeit equipment is a known issue and is on the increase. Such equipment can be hard to identify, as the packaging, labelling and safety marks can look very similar. Products that do not comply with the appropriate safety standards, the retailer (and if necessary, Trading Standards) should be informed.

Users should pay careful attention to the safety marks to ensure that they are exactly correct, two examples are shown below:



A formal visual inspection carried out by a competent person will make the greatest contribution to minimising risk and eliminating potential safety hazards. Advice on the frequency of formal visual inspections is given in Table F (see [page 10](#)).

A formal visual inspection should include an inspection of the following:

### 1. Manufacturer's Instructions

- The equipment should be installed and used in accordance with the manufacturer's instructions.
- The correct voltage, frequency and current requirements should be verified.
- Requirements for ventilation or heat dissipation should be met.

### 2. Environment

- Suitability of the equipment for the environment or purpose for which it is being used e.g. risk of mechanical damage, exposure to weather, temperature, fluids, corrosives, flammable materials.

### 3. Switching of Equipment

- The inspector should determine whether there are suitable means of disconnecting the equipment from the mains supply under normal use, to carry out maintenance and in the event of an emergency (if applicable to the equipment).

### 4. User Feedback

- Where possible the user of the equipment should be consulted as to whether there are any known problems or faults. The user may be aware of intermittent problems that may not be apparent during the inspection.

### 5. The Equipment Enclosure/Casing

- Physical damage such as cracks or chemical corrosion. Particular attention should be paid to areas around switches, fuses, protective covers and mains couplers where damage may result in live parts becoming exposed.
- Signs of overheating.
- Signs of ingress of fluids or foreign bodies.

### 6. Mains Plugs and Fuses

- Correct fit in the mains outlet - not loose and can be removed without difficulty.
- Cracks or damage.
- Signs of overheating.
- Properly tightened off terminal screws.
- Correct wiring.
- Mains flex is properly secured by the cable grip.
- Correct fuse rating and type.
- Fuse is approved by a notified body and bears the approval mark of the notified body with the 3 most common being the BSI Kitemark, Asta Intertek (Diamond) & the Nemko 'N' mark.
- Bear the licence/certificate number designated by the notified body.

Approved by BSI



Licence No KM 123456

BSI Kitemark with example  
licence number



ASTA-Intertek diamond



Nemko N-Mark

## 7. Mains Cables

- a. Damage, cuts or fraying. Extension leads should be checked along with the entire length.
- b. Joints or connections which are unsafe e.g. taped joints.
- c. Appropriate length.
- d. Correct rating for the equipment.

## 8. RCD protected adaptors or extension leads

- a. Correct operation of the RCD should be confirmed.

Operator accessible fuses on the outside of the equipment should be checked for correct type and rating. If the equipment manufacturer has specified a particular rating for the plug fuse, this should also be checked. If the manufacturer has not specified a fuse rating for the plug the maximum current carrying capacity is detailed in Table G (see **page 32**) related to the cross-sectional area of the cable conductors. Ensure that properly manufactured cartridge fuses are used and that fuses have not been replaced with a metal bar, wrapped in metallic foil or similar non-standard method.

**Note:** The requirements of a formal visual inspection will vary according to the equipment being inspected and the environment in which it is used. The 'prompts' built into Seaward Portable Appliance Testers are intended to provide guidance and should not be taken as a comprehensive list of items to be checked during a formal visual inspection.



# Combined Inspection and Testing Procedure

## Safety Considerations

Inspection and testing should only be carried out by a person who is competent to perform the inspection and testing and interpret the results obtained.

## Preliminary Inspection

Before inspection and testing is carried out the test operative should obtain a copy of any previous test records if they are available. This will allow an assessment to be made of any degradation of the equipment under test.

Before attempting to carry out any electrical safety tests, the following preliminary inspection should be carried out:

- a. Ensure that the equipment can be disconnected from the mains supply and other power sources. If permission is received, disconnect the equipment from the supply.
- b. Disconnect the equipment from all other equipment, communication links and telecom lines.
- c. Where the equipment under test has the provision to supply mains power to other accessories (for example a monitor powered from PC base station) the mains connection can remain in place during the tests.
- d. Ensure that equipment is not in contact with extraneous metalwork such as parts of office furniture.
- e. Thoroughly inspect the equipment under test for damage, as described in Formal Visual Inspection.
- f. Inspect the mains plug as described in Formal Visual Inspection.

- g. Inspect the mains cable as described in Formal Visual Inspection.
- h. Assess the suitability of the equipment for the environment.
- i. Where possible, consult the user as to whether there are any known problems with the equipment.

**Note:** Special care should be taken where equipment is powered from an interruptible power supply (UPS) or has internal battery backup.

## In-Service Tests

The IET "Code of Practice for In-Service Inspection and Testing of Electrical Equipment" recommends a system of periodic inspection and testing, with up-to-date records, as a means of demonstrating compliance with the Electricity at Work Regulations 1989. Most companies and organisations that wish to comply with the requirements of the Electricity at Work Regulations 1989 will carry out in-service tests at intervals determined by risk assessment.

The order in which the tests are performed is important to the safety of the test operative. The testing sequence of Seaward's portable appliance testers is designed to contribute towards a safe system of work. The sequence should always be:

- 1. Earth continuity test.
- 2. Insulation resistance test.
- 3. Protective conductor/touch current test or alternative/substitute leakage test.
- 4. Functional Check.

An insulation test should always be carried out before attempting any tests which involve applying mains power to the equipment under test as it may detect a dangerous insulation failure.

The recommendations given by IET Code of Practice for In-Service Testing of Electrical Equipment are as follows:

**Class I Appliances**

- Earth continuity test.
- Insulation resistance test and if required protective conductor current test or alternative leakage test.
- Functional checks.

**Class II Appliances**

- Insulation resistance test and where required touch current test or alternative leakage test.
- Functional checks.

**Fixed Equipment or Appliances**

Fixed equipment or appliances are more difficult to inspect and test due to their connection to the fixed wiring of an installation. This does not mean that only visual inspections are required for these types of equipment and they should be subjected to a full combined inspection and test intervals determined by risk assessment.



Testing fixed equipment or appliances must be carried out by a competent person, in accordance with the specific tests for a particular class of equipment. The person carrying out the inspection and testing:

- must be competent to carry out safe isolation procedures,
- must be competent to carry out this more complex arrangement of work,
- must ensure safe systems of work are observed at all times,
- must ensure all inspections and tests are relevant to the class of equipment.

Where the frequencies of any combined inspection and testing for permanently fixed equipment, determined by risk assessment, are similar to those for the fixed installation, inspection and testing can be undertaken during periodic inspection and testing of the fixed installation. Where equipment could be subjected to higher use or have a greater risk of damage, additional formal inspections may be required.

**Appliance Cable Sets**

A 3-core appliance cable should be tested as a Class I appliance and the following tests should be made:

- Earth continuity.
- Insulation resistance.
- Wiring polarity check.

A 2-core appliance cable should be tested as a Class II appliance and the following tests should be made:

- Insulation resistance.
- Wiring polarity check.

**Note:** Seaward portable appliance testers are equipped with appliance cable tests that automatically perform all of the recommended tests, including wiring polarity.

**Extension leads, multi-way adaptors and RCD adaptors**

Extension leads and multi-way adaptors are tested as a class I appliance and the following tests should be performed:

- Earth continuity.
- Insulation resistance.
- Wiring polarity check.

When the extension lead or multi-way adaptor is fitted with an RCD, the RCD must have a rated residual operating current (the current at which the RCD is designed to operate) not exceeding 30mA.

The IET Code of Practice for In-Service Inspection and Testing of Electrical Equipment also recommends that the operation of the RCD should be checked using RCD test instrument to determine that the trip time is within its limits specified in Table A:

**Table A**

| RCD Type  | Maximum Tripping Time at Rated Current | Maximum Tripping Time at 5x Rated Current |
|---|--|---|
| Portable Devices to BS 7071<br>Socket-outlets to BS7288 | RCD trip time ≤ 200ms                  | RCD trip time ≤ 40ms                      |
| BS EN 61008<br>BS EN 61009                              | RCD trip time ≤ 300ms                  | RCD trip time ≤ 40ms                      |

**Note:** Many Seaward portable appliance testers are equipped with an RCD trip time test for testing the operation of RCDs in accordance with the recommendations of the IET Code of Practice for In-Service Testing of Electrical Equipment.

See **Appendix** for our guidance and flowchart to aid with testing process and requirements.

## Equipment Often Overlooked

### Electrical Equipment Built into Furniture

This covers electrical equipment that is supplied from a plug and socket arrangement and installed within purpose-built items of furniture, such as desks, lecterns, or shop displays and should be included in your Risk Assessment policies, visual inspections, and maintenance programmes.

Electrical test and inspection should be carried out on all appropriate parts such as the power blocks (extension leads), RCDs, built in equipment and any bonding.

Visual inspection, earth continuity testing, insulation, polarity, RCD and depending on the equipment type PE conductor current/touch leakage tests may all be required.

### Equipment Racks and Bays

Many items of equipment that could individually be considered mobile, are often used in a fixed location, installed into equipment racks or bays.

A duty holder may decide to treat such equipment racks as installed electrical plant. On that basis, the equipment should be subject to an ongoing maintenance regime typical of an electrical installation, which will comprise inspection and testing according to the nature of the installation and its use. All equipment should undergo suitable inspection and testing before installation, unless it is new.

### Electric Vehicle Charging Equipment

If charging equipment for Electric Vehicles are not covered by any other electrical maintenance programme then it should be included in your testing regime.

### Testing After Repair

The IET Code of Practice recommends that equipment that has been repaired should be inspected and tested either in accordance with the manufacturer's production tests or in-service tests. The decision is based upon the type of equipment and the nature of the repair.

### Class I Appliances

- Earth continuity test.
- Insulation resistance test.
- Dielectric strength test.
- Protective conductor current test.
- Functional checks.

### Class II Appliances

- Insulation resistance test.
- Dielectric strength test.
- Touch current test.
- Functional checks.

Testing after repair is performed to ensure that the repair has not compromised the electrical safety of the electrical equipment and this is reflected by the recommended electrical tests. For example, the earth continuity test will demonstrate that all protective earth connections have been replaced when the appliance is reassembled. Similarly, the dielectric strength test is a useful means of ensuring that all insulating materials has been correctly reassembled and that the insulation on live conductors has not been damaged during reassembly, for example, when wires are trapped or damaged by fixing screws.

### Testing Hire Equipment

The IET Code of Practice does not cover inspection and testing of equipment or appliances that are used for commercial gain hire purposes. Equipment hire companies should refer to the Hire Association of Europe (HAE) and Event Hire Association (EHA) document, HAEEST2012: 'Guidance on Electrical Safety Testing in the Hire Industry', which gives guidance on in-service inspection and testing for hire equipment prior to its release to customers/clients.

The HAE/EHA Code of Practice recommends that combined inspection and testing should comprise of some or all of the following:

- Visual inspection.
- Earth continuity test.
- Insulation resistance or protective conductor/touch current test.
- Dielectric strength test.
- Load (Run) Test.
- Polarity check.
- Functional checks.



# Electrical Tests

## Earth Continuity

This test is performed on Class I equipment or mains cables and is used to verify the integrity of the connection between the protective conductor and all exposed metal parts intended to be connected to the protective conductor.

The IET Code of Practice for In-service Inspection and Testing of Electrical Equipment recommends either of the following:

A continuity measurement with a short circuit test current within the range 20mA to 200mA

or

A continuity measurement with a test current no less than 1.5 times the rating of the fuse and no greater than 25A for a period of 5 and 20 seconds.

**Note:** Some appliances, for example IT equipment, may have accessible metal parts which are connected to earth for functional or shielding purposes only. If the high current test option above is used, the test current will flow through sensitive components or wiring not intended to provide a protective earth connection. Inappropriate use of high test current may damage the equipment under test. If in doubt, a low test current should be used.

When testing equipment with a mains cable, the continuity test is made between all accessible earthed metal parts of the equipment and the earth pin of the plug. When testing equipment without a mains cable, the continuity test is made between the earth pin of the mains input socket and all exposed metal parts. The resistance measurement should be observed while flexing the cable and an inspection of the flexible cable terminations at the equipment and the plug or flex outlet should be made. Variations in measured resistance should be investigated.

The measured resistance should not exceed the values given in Table B:

**Note:** Normal values for resistance of the protective conductor of the supply cable are given in Table G (see [page 32](#)).

Table B

| Earth Continuity Reading - Maximum Values            |               |
|--|---------------|
| Appliances with a supply cable                       | (0.1 + R) ohm |
| Appliances without a supply cable                    | 0.1 ohm       |
| 3 core appliance mains cables                        | (0.1 + R) ohm |
| Extension leads, multi-way adaptors and RCD adaptors | (0.1 + R) ohm |

**Note:** R is the resistance of the protective conductor of the supply cable. Refer to table G for details (see [page 32](#)).

**Note:** Some older equipment may not give such a low reading but may still be considered safe to use, and so the duty-holder is permitted to allow a higher reading of up to 0.5Ω if they are satisfied that the equipment is still safe and that the higher reading is not due to deterioration of the protective conductor connection

Where possible, it is advisable to test equipment together with its supply cable. If the mains cable is not detachable, no practical alternative exists.

Care should be taken to ensure that the test connections to the equipment under test make clean metal-to-metal contact otherwise contact resistance may introduce significant errors.

It is possible for Class I equipment to have conductive metal parts which are not accessible to the operator, accessible metal parts with protection against electric shock being provided by double or reinforced insulation or to have 'unearthed' metal parts which are in casual or fortuitous contact with earthed metal. In this case no earth continuity test is specified.

## Insulation Resistance

Insulation resistance is measured by applying a test voltage of 500V DC and measuring the resistance.

When testing a Class I appliance the voltage is applied between both live conductors (phase and neutral) and the protective conductor (earth). When testing a Class II appliance, the test voltage is applied between both live conductors (phase and neutral) and a test probe. The test probe should be applied to any exposed metal parts of the enclosure where conductive material may have accumulated. Multiple tests may be required.

Modern portable appliance testers produce a test voltage which is current limited. The voltage is not dangerous but could be uncomfortable. Appliances should not be touched during an insulation test.

The insulation resistance test may not be suitable for certain types of equipment. In case of equipment fitted with mains filters, voltage limiting devices or surge protection it may not be possible to obtain a satisfactory measurement with a 500V DC test voltage. Equipment with sensitive circuits could be damaged by the 500V test. If in any doubt consult the manufacturer of the equipment prior to testing or perform an alternative test.

An alternative/substitute leakage, an insulation resistance test at a reduced test voltage such as 250V DC or a protective conductor/ touch current measurement may be more appropriate.

This test should be performed with the equipment switched ON. Some electronic equipment may contain mains filter circuits connected between live/neutral and earth. Such devices could cause the insulation resistance to be less than specified. The manufacturer/supplier must be consulted in these cases as to the acceptable value of insulation resistance.

**Insulation Resistance for Heating and Cooking Appliances**

For equipment such as portable cookers the insulation resistance when cold can be very low. Switching on the appliance for a period of time drives out any absorbed moisture, enabling more realistic resistances to be obtained.

**Alternative or Substitute Leakage Measurement**

Alternative or substitute leakage is measured using a technique similar to that used when measuring insulation resistance. A test voltage is applied between both live conductors (phase and neutral) and the protective conductor (earth) during Class I test, or, a test probe connected to the equipment enclosure during a Class II test. The resultant current is measured and then scaled to indicate the current that would flow at nominal supply voltage.

The test voltage is 50Hz AC and normally in the range of 40V to 250V. The test voltage is current limited and so there is no hazard to the test operative. As the test voltage has the same nominal frequency as the mains supply the leakage paths are similar to those found when the equipment is in operation. Similarly, because the test voltage is not greater than the nominal supply voltage of the equipment under test measurements are not affected by transient suppressors, metal oxide varistors, or other voltage limiting devices, most commonly known as surge protection.

Portable appliance testers automatically make the necessary connection between the live and neutral conductors and apply the correct scale factor to the measured current. The equipment under test must be switched ON during the test.

**Dielectric Strength Test**  
The Dielectric Strength or as it is often known, “flash test” or “hipot test” is a stress test on the insulation of a DUT.

This test does not form part of any recommendation within the Code of Practice for In-service testing, and is most commonly used in product safety testing either as part of an ‘approval test’ or ‘production test’. The test is still routinely performed in other industries such as equipment tested within the tool hire industry, as recommended in the Hire Association of Europe (HAE) and Event Hire Association (EHA) document, ‘Guidance on Electrical Safety Testing in the Hire Industry’.

A high test voltage, typically greater than 1000VAC is applied at the mains plug of the appliance under test, between the live/ neutral conductors connected together, and either the protective earth conductor or a high voltage test probe applied to the exposed conductive parts of the enclosure of the appliance.

During the test, the level of current returning to the test instrument, via the protective conductor or the high voltage probe is measured to ensure that a safe level of current is leaking. It is typical for the maximum threshold of current to be in the region of 5mA for production testing, but this may vary dependent upon the product standard.

Table D defines the test voltages for a range of equipment.

**Table C Minimum Acceptable Insulation Resistance Values**

| Class of Construction              | Minimum Insulation Resistance |
|------------------------------------|-------------------------------|
| Class I equipment                  | 1.0Mohm                       |
| Class II and Class II FE equipment | 2.0Mohm                       |

Table D Dielectric Strength Test Voltages

| Standard   | Basic Insulation | Supplementary Insulation | Reinforced Insulation |
|--|------------------|--------------------------|-----------------------|
| BS EN 60335 Safety of Household Electrical Appliances  | 1000V            | 2500V                    | 2500V                 |
| BS EN 62911 AV and IT Equipment. Routine Electrical safety testing in production                       | 1500V            | 2500V                    | 2500V                 |
| BS EN 62841 Electric motor-operated hand-held tools, transportable tools and lawn and garden machinery | 1000V            | 2500V                    | 2500V                 |

**Warning:** During flash testing, close proximity to the high level of charge present could cause damage to certain types of electronic equipment which incorporates semi-conductor devices. The advice of the equipment manufacturer should always be sought before testing when such conditions are suspected.

The IET Code of Practice does not recommend the use of the dielectric test as an in-service test but does state that it may be appropriate after a repair in accordance with the manufacturer’s production or in-service tests, depending on the equipment and the nature of the repair.

**Preload Check**

Seaward portable appliance testers automatically perform a pre-check before tests which involves applying mains power to the equipment under test. This is included to protect the test person from potential hazards produced by a very low impedance

or short circuit being present between LIVE and NEUTRAL. The test should be conducted with the equipment switched ON.

**Load Test**

A load test is not a ‘required’ safety test, however it can provide useful information regarding the operation of the equipment under test. The portable appliance tester will apply the supply voltage to the equipment under test and measure the power consumption in kVA of load current in amperes.

The test is included since a higher power than expected from the specification may indicate reduced functional efficiency. A significant change from a previously recorded figure may provide an early warning or bearing failure in an electrical machine or shorted turns within a transformer, both conditions indicating the need for maintenance. Abnormally low power could be the indication of an open circuit, ruptured fuse or other form of fault.

**Protective Conductor/Touch Current Measurement**

The protective conductor/touch current is measured from live parts to protective earth for Class I equipment, or from live parts to accessible metal parts of the enclosure on Class II equipment under normal operating conditions. This test is an alternative to the insulation test where the insulation test is inappropriate. This test will provide evidence of possible deterioration of certain components under load and may indicate that the method of connection of the equipment to the supply is inappropriate.

Table E defines the limits for protective conductor or touch current.

**Note:** Should the equipment contain a mains interference suppression capacitor or filter, some residual leakage will be measured; this will not necessarily indicate a fault condition.

**Warning:** Special care should be taken during tests where the equipment under test is energised. Portable tools and rotating machines etc. should be rendered safe before the complete test sequence begins. All such machines should therefore be physically secure and have their cutting, grinding, drilling bits etc. removed where possible; where guards are provided they should be in place.

Table E Protective Conductor/Touch Current Limits

| Class of Construction | Maximum Permissible Current |
|-----------------------|-----------------------------|
| Class I equipment     | 5mA                         |
| Class II equipment    | 5mA                         |

## Record Keeping

Although there is no legal requirement to keep records of inspection and testing, the HSE Memorandum of Guidance on the Electricity at Work Regulations 1989 advises that records of maintenance including tests should be kept throughout the working life of the equipment.

In any proceedings for an offence consisting of a contravention of the EAWR 1989 Reg 4 (4), 5 and 8 to 16 inclusive (i.e. those Regulations requiring "absolute" compliance); Regulation 29 states that it shall be a defence for any person to prove that they took all reasonable steps and exercised all due diligence to avoid the commission of that offence.

The most effective method for the duty holder to prove that he "exercised all due diligence" etc., is to produce proper records of the measures taken to prevent the accident. Hence full and accurate records made at the time of testing become essential, and the managed system designed to achieve this must be in place before the accident.

### Step by step

A step-by-step approach would include the following:

- a. Conduct a survey to identify all equipment which exists within the duty holder's control
- b. Each appliance should be marked with a unique identification code, cross referencing test results and inspection details.
- c. A register of all equipment should then be created to include the following details:

1. Identification number.
2. Location in which the equipment is kept.
3. A description of the appliance.
4. Serial number.
5. Periods between tests.
6. Any other details.

Due to the large number of appliances and the details that must be recorded a computer database is likely to be the most effective and efficient method of data collection and storage.

A comprehensive software package, such as Seaward's PATGuard, will ensure the user to set up a detailed database of all items at any particular location easily.

By recording the information outlined in (c) above working documents can be produced which are a useful aid to proficiency and safety. For example, a work schedule can be generated grouping products by test date, task sheets can be printed providing the person conducting the tests with a list of all items due for testing, together with their location, identification number etc.

### Use of Advanced Portable Appliance Testers

Where advanced portable appliance testers are used, data can be transferred directly from the instrument to the database providing automatic creation or update of records. In such situations reports of untested appliances and those which failed the tests can be produced and submitted to the duty holder for the appropriate action to be taken, this ensures the investigation is thorough and avoids oversight.

### Action on Completion of Tests

Any equipment found unsafe must immediately be removed from use, labelled with its fault and transferred to the repair facility and the appropriate person informed. Although there is no requirement in the Electricity at Work Regulations 1989 to label equipment, the duty holder may find it useful to label equipment with the information shown below to indicate that it has been tested:

- a. Unique identifier or asset ID
- b. Current safety status e.g. PASS or Fail.
- c. The date tested.
- d. The identity of the test person.

Such information will enable the duty holder to manage this aspect of the overall safety of the area within his control. The IET Code of Practice recommends that the date for re-testing should not be marked on the label.

A convenient method of labelling equipment may incorporate a barcode. Seaward have a range of instruments which can be used with a barcode reader and collect appliance number and test codes without the need for manual data input. A full range of labels and data collection accessories are available from Seaward.

### Data Transfer Between Test Instrument and PC

Advanced Seaward PAT Testers contain output ports which allow the tester to be connected to a PC running PATGuard software. Selected appliances from various locations can have their test data sent directly to the PAT (this is called upload) which after disconnection from the PC may then be taken to the location where the appliances are to be tested.

Simply by inputting the appliance number, the PAT will search the upload memory in an attempt to identify that particular appliance. If the appliance is identified the pre-determined sequence of tests will be suggested to the user. If this is accepted, the instrument will then automatically conduct the tests and record the results.

The advantage of this approach is that it helps avoid uncertainty as to which tests should be conducted on a particular appliance.

The table overleaf gives figures for the nominal resistance of the protective conductor per metre length and for various lengths of cable that may be fitted as supply leads to appliances. Once an earth bond test has been performed the approximate resistance of the protective conductor can be found and deducted from the test results to give a more realistic figure for the resistance of the earth bonding of the appliance.

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**Table G Supply Lead Resistance**

Nominal resistance of appliance supply cable protective conductors

| Nominal conductor c.s.a. mm <sup>2</sup> | Nominal conductor resistance at 20°C mΩ/ metre | Length (metres) | Resistance at 20°C mΩ | Max. current carrying capacity A | Max. diameter of individual wire in conductor mm | Approx. No. of wires in conductor |
|--|--|-----------------|-----------------------|----------------------------------|--|-----------------------------------|
| 0.75                                     | 26   | 1.0             | 26                    | 6                                | 0.21   | 24                                |
|  |  | 1.5             | 39                    |                                  |  |                                   |
|  |  | 2.0             | 52                    |                                  |  |                                   |
|  |  | 2.5             | 65                    |                                  |  |                                   |
|  |  | 3.0             | 78                    |                                  |  |                                   |
|  |  | 4.0             | 104                   |                                  |  |                                   |
|  |  | 5.0             | 130                   |                                  |  |                                   |
| 1  | 19.5   | 1.0             | 19.5                  | 10                               | 0.21   | 32                                |
|  |  | 1.5             | 29.5                  |                                  |  |                                   |
|  |  | 2.0             | 39.0                  |                                  |  |                                   |
|  |  | 2.5             | 48.8                  |                                  |  |                                   |
|  |  | 3.0             | 58.5                  |                                  |  |                                   |
|  |  | 4.0             | 78.0                  |                                  |  |                                   |
|  |  | 5.0             | 97.5                  |                                  |  |                                   |
| 1.25                                     | 15.6   | 1.0             | 15.6                  | 13                               | 0.21   | 24                                |
|  |  | 1.5             | 23.4                  |                                  |  |                                   |
|  |  | 2.0             | 31.2                  |                                  |  |                                   |
|  |  | 2.5             | 39.0                  |                                  |  |                                   |
|  |  | 3.0             | 46.8                  |                                  |  |                                   |
|  |  | 4.0             | 62.4                  |                                  |  |                                   |
|  |  | 5.0             | 78.0                  |                                  |  |                                   |
| 1.5                                      | 13.3   | 1.0             | 13.3                  | 15                               | 0.26   | 30                                |
|  |  | 1.5             | 20.0                  |                                  |  |                                   |
|  |  | 2.0             | 26.6                  |                                  |  |                                   |
|  |  | 2.5             | 33.3                  |                                  |  |                                   |
|  |  | 3.0             | 39.9                  |                                  |  |                                   |
|  |  | 4.0             | 53.2                  |                                  |  |                                   |
|  |  | 5.0             | 66.5                  |                                  |  |                                   |
| 2.5                                      | 8  | 1.0             | 8                     | 20                               | 0.26   | 50                                |
|  |  | 1.5             | 12                    |                                  |  |                                   |
|  |  | 2.0             | 16                    |                                  |  |                                   |
|  |  | 2.5             | 20                    |                                  |  |                                   |
|  |  | 3.0             | 24                    |                                  |  |                                   |
|  |  | 4.0             | 32                    |                                  |  |                                   |
|  |  | 5.0             | 40                    |                                  |  |                                   |

## Appendix

### HSE Guidance notes

|          |  |
|----------|--|
| HSG107   | Maintaining Portable Electrical Equipment                          |
| HSR25    | Memorandum of guidance on the Electricity at Work Regulations 1989 |
| INDG 236 | Maintaining portable electric equipment in low-risk environments   |
| PM29     | Electrical hazards from steam/water pressure cleaners              |
| PM38     | Selection and use of portable electric handlamps                   |

### Codes of Practice

IET Code of Practice for In-service Inspection and Testing of Electrical Equipment 5th Edition. 78561-966-3

Hire Association of Europe (HAE) and Event Hire Association (EHA) document, 'Guidance on Electrical Safety Testing in the Hire Industry'

### Legislation

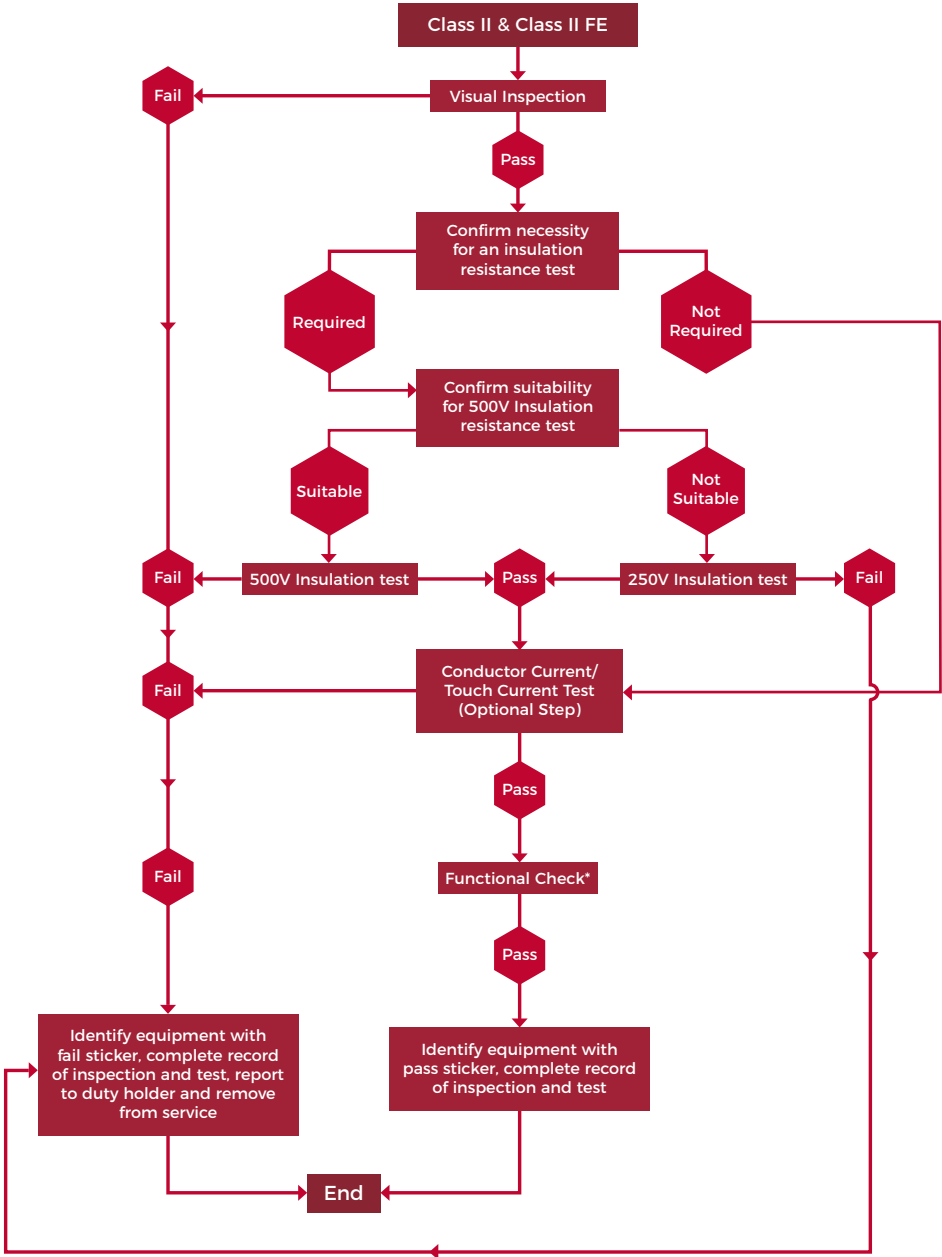
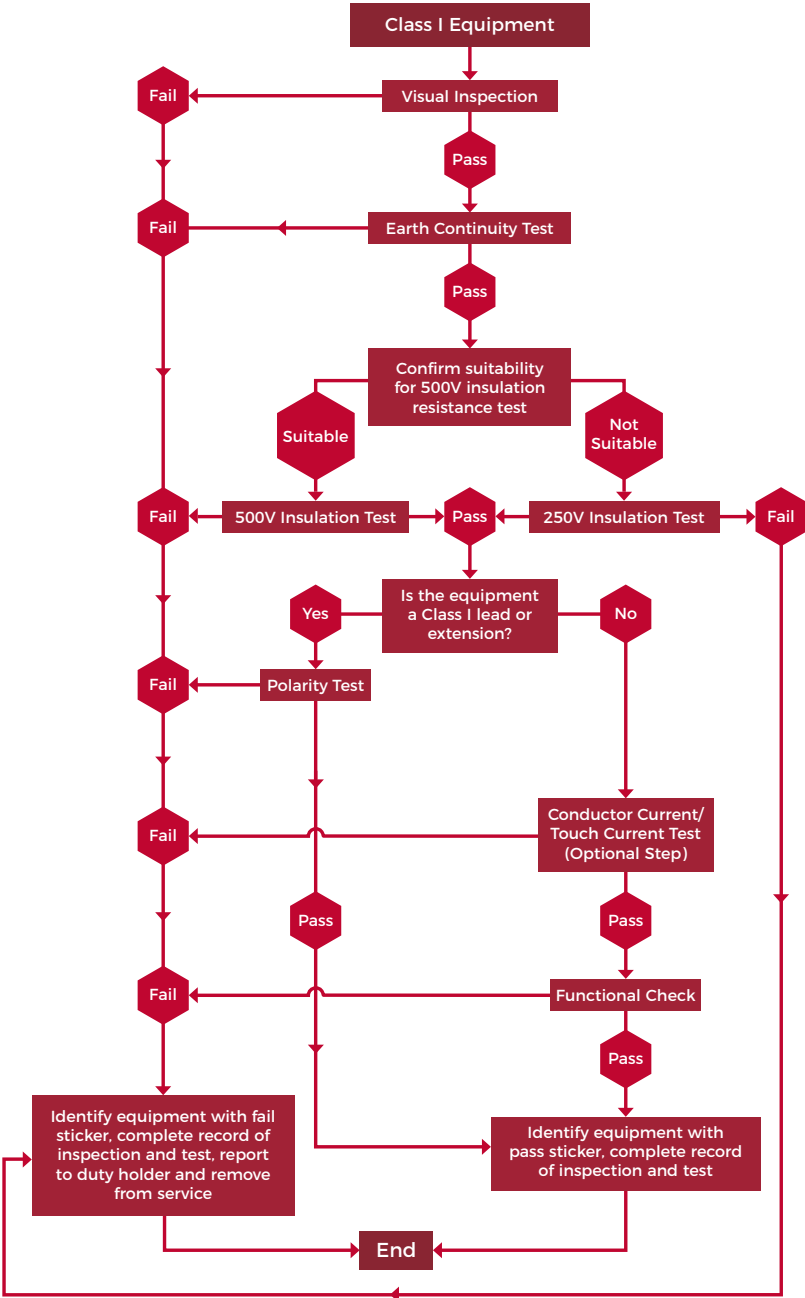
The Health and Safety at Work etc. Act 1974

The Electricity at Work Regulations 1989 (S.I. 1989 No.635)

The Plugs and Sockets etc. (Safety) Regulations (S.I. 1994/1768)

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Flowchart for Aiding Test Requirements



\*Note: Class II FE functional checks may also include a continuity check of the functional earth

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#### The winning line up of downloadable PAT testers from Seaward

Our Apollo+ Series comes with improved functionality and accessories, including the option of an external rechargeable battery pack, labels, and the ability to scan and print QR codes\*



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A multi-tasking PAT tester with on-board camera.

A multi-tasking and fully customisable PAT tester with additional health and safety features and on-board camera for unrivalled record keeping.

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#### Apollo 500+

A fast & fully customisable, versatile PAT tester.

A powerful PAT tester with a built-in PAT retest calculator and flexible user-configurable sequences for recording any non-electrical workplace test or inspection.

The ability to customise a number of settings, and a large memory to store up to 10,000 appliance records, makes the Apollo 500+ a versatile and dependable tool for high volume PAT testing.

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#### Apollo 400+

A simple, no-nonsense PAT tester.

A straightforward electrical safety tester with QWERTY keypad for fast data entry and storage of up to 2,000 appliance records.

Ideal for mid-volume testing in compliance with IET Code of Practice, the Apollo 400+ offers easy and efficient data management with remote data transfer and USB downloading.

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\*does not include Apollo 400+.

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Handheld, compact and feature packed to test most workplace appliances.

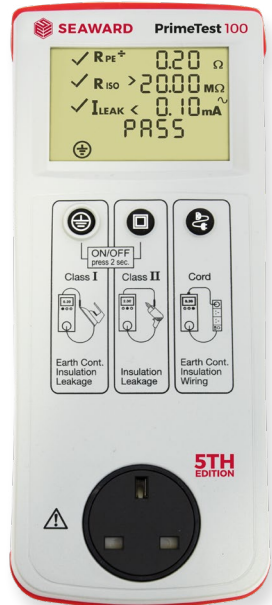
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Features Seaward's unique zap circuit.  
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Store up to 999 test records and downloadable to a PC with a single key press.
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Print pass and fail labels to Test n Tag Pro printer via serial cable with no setup.
- Includes test for Class 2 FE equipment, a test for long leads and variable PASS/FAIL limits.



## PrimeTest 100

Handheld unit for testing earth continuity, insulation resistance leakage with measured test result values.

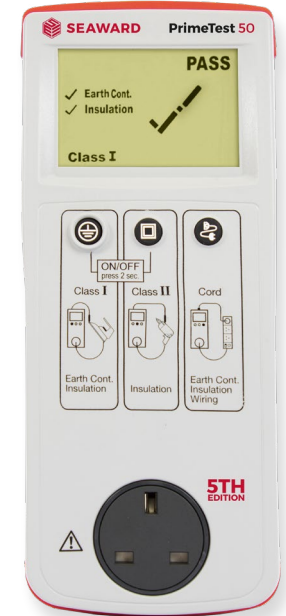
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Features Seaward's unique zap circuit  
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This lightweight tester is extremely portable.
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Conducts up to 5,000 tests before battery requires replacing.
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## PrimeTest 50

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- **Handheld and battery powered**  
This lightweight tester is extremely portable.
- Includes 250V insulation resistance test for testing sensitive and surge protected equipment.



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## PATGuard 3 Software

# PATGUARD 3

# TIME MANAGER 3

PATGUARD TIME ANALYSIS

PATGuard 3 is a complete software solution for recording, storing, and reporting on test and inspection data downloaded from your PAT tester.

- Tag records with images as visual evidence and produce professional reports and certificates for total traceability and comprehensive record keeping.
- Save time by using PATGuard 3 to produce invoices, schedule retests and upload retest data to your tester.
- User configurable electrical test/visual inspection sequences.
- Provides clear time management information with TimeManager 3.

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- Ideal for companies that manage off-site or remote testing staff and need to manage their business in a time efficient manner.
- View the tester's usage simply and quickly with TimeManager's graphical data display.

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## Notes

## Notes

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